

06-25-01

A

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)
Only for new nonprovisional applications under 37 C.F.R. 1.53(b)

Docket No.:
28883-US

Express Mail Label No.
EL591096557US

TO THE ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

LECTIN SS3939 DNA AND POLYPEPTIDES

and invented by:

DIRK M. ANDERSON, SEATTLE, WASHINGTON.

If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: PCT/US99/30523

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification including claims and abstract (62 pages total)
3. ☐ Drawing(s); Number of Sheets _____
4. ☐ Oath or Declaration
 - a. ☐ Newly executed
 - b. ☐ Copy from a prior application (37.C.F.R. 1.63(d)) (*for continuation/divisional application only*)
 - c. ☐ With Power of Attorney ☐ Without Power of Attorney
 - d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)
The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☒ Paper copy
 - ☐ Pages _____ - _____ of specification
 - ☒ Separately numbered pages 1 - 7
 - b. ☒ Computer Readable Copy
 - c. ☒ Statement Verifying Identical Paper and Computer Readable Copy
 - d. ☐ Statement under 37 C.F.R. 1.821(e) in lieu of Computer Readable Copy

jc862 U.S. PTO
06/22/01

1017 U.S. PTO
09/887855

06/22/01

09897855 062201

Accompanying Application Parts

8. ☐ Assignment
- a. ☐ Executed original Assignment and Recordation Form enclosed
- b. ☐ Prior application is assigned of record to Immunex Corporation
(reel _____ frame _____)
9. ☐ 37 C.F.R. 3.73(B) Statement (*when there is an assignee*)
10. ☒ Preliminary Amendment with Appendix A
11. ☒ Acknowledgment postcard
12. ☒ Certificate of Mailing by Express Mail (Label No.: EL591096557US)
13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
14. ☐ Additional Enclosures (*please identify below*):

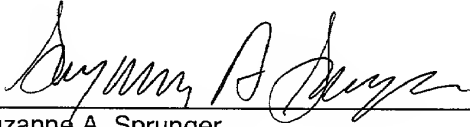
Bibliographic Data Sheet

Fee Calculation and Transmittal

CLAIMS AS FILED (after any Preliminary Amendment submitted herewith)					
For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	13	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$80.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE (<i>specify purpose</i>)					\$0.00
TOTAL FILING FEE					\$0.00

- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0089 as described below. A copy of this sheet is enclosed.
- ☒ Charge the amount of \$710 as a filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 C.F.R. 1.16 and 1.17.

Correspondence address:


Suzanne A. Sprunger
Registration No. 41,323

Dated: June 22, 2001



22932

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:
Dirk M. Anderson

Docket No.: 2883-US

Filing Date: June 22, 2001

For: LECTIN SS3939 DNA AND POLYPEPTIDES

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL LABEL NUMBER: EL591096557US

I hereby certify that the following correspondence is enclosed and is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date listed below, and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Postcard
Application for Patent Cover Sheet (2 pages + copy)
Specification, claims and abstract (62 pgs.) (Copy of the original)
Preliminary Amendment with Appendix A
Paper copy of Sequence Listing (pgs 1-7)
Statement Under 37 CFR 1.821 (f) Regarding Sequence Listing
Diskette containing Sequence Listing
Bibliographic Data Sheet

Signed: Elizabeth M. McCarthy
Elizabeth M. McCarthy

Date: June 22, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:
Dirk M. Anderson

Docket No.: 2883-US

Serial No.: -- to be assigned --

Filing Date: June 22, 2001

For: LECTIN SS3939 DNA AND POLYPEPTIDES

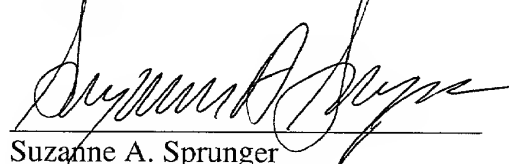
STATEMENT UNDER 37 CFR 1.821(f)

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant by his undersigned representative hereby states that the contents of the paper copy and the computer-readable copy of the Sequence Listing submitted herewith are the same.

Respectfully submitted,



Suzanne A. Sprunger
Registration No. 41,323

SAS:em
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